

Docket No. 286540US0PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Juergen HERWIG, et al.

SERIAL NO: New U.S PCT Application Based on PCT/EP04/51684

GAU:

FILED: Herewith

EXAMINER:

FOR: COAMMOXIDATION OF KETONES

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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 'APO Rec'd PCT/PTO 28 MAR 2006

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 286540US0PCT		SERIAL NO. New U.S PCT Application Based on PCT/EP04/51684	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Juergen HERWIG, et al.					
		FILING DATE Herewith		GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2003/0100795	5/29/2003	HERWIG, Juergen et al			
	AB	2003/0105356	6/5/2003	SCHIFFER, Thomas et al			
	AC	5 498 793	3/12/1996	MANTEGAZZA, Maria A. et al			
	AD	6 613 946	9/2/2003	GRUND, et al.			
	AE	6 444 855	9/3/2002	ESSER, et al.			
	AF	6 610 864	8/26/2003	KREBS, et al.			
	AG	6 462 235	10/8/2002	THIELE, et al.			
	AH	6 639 108	10/28/2003	SCHIFFER, et al.			
	AI	6 620 970	9/16/2003	SCHIFFER, et al.			
	AJ	6 664 423	12/16/2003	HERWIG, et al.			
	AK	2003/0195374	10/16/2003	HERWIG, et al. (10/411,277)			
	AL	6 861 540	3/1/2005	HERWIG, et al.			
	AM	6 828 449	12/7/2004	HERWIG, et al.			
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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	Docket No.: 286540US0PCT	Serial No.: New Application
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Juergen HERWIG, et al.	
	Filing Date: Herewith	Group:
<b><u>LIST OF RELATED CASES</u></b>		

Examiner Initial	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App. Publication No.	Inventor or Applicant
	09350-0098-0	6,613,946	09/02/03		GRUND, et al.
	09350-0130-0	6,444,855	09/03/02		ESSER, et al.
	206306US0	6,610,864	08/26/03		KREBS, et al.
	212564US0	6,462,235	10/08/02		THIELE, et al.
	224513US0	6,639,108	10/28/03		SCHIFFER, et al.
	221161US0	6,620,970	09/16/03		SCHIFFER, et al.
	224348US0	6,664,423	12/16/03		HERWIG, et al.
	232943US0	10/411,277	04/11/03	US2003/0195374 A1	HERWIG, et al.
	237178US0	6,861,540	03/01/05		HERWIG, et al.
	237180US0	6,828,449	12/07/04		HERWIG, et al.

Examiner

Date Considered

NFO/amr